

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Docket Number</b> 99-1013		<b>Application Number</b> 09/745,651	
				<b>Applicants</b> Glenn D. KIRWIN, et al.			
				<b>Filing Date</b> December 22, 2000		<b>Group Art Unit</b> 3691	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		11/756,875	6/1/2007	Kirwin, et al.			
		2007/0226126	9/27/2007	Kirwin, et al.			
		11/756,964	6/1/2007	Kirwin, et al.			
		2007/0226127	9/27/2007	Kirwin, et al.			
		09/995,698	11/29/2001	Gilbert			
		2003/0088495	5/8/2003	Gilbert			
		09/982,709	10/18/2001	Gilbert et al.			
		2003/0154152	8/14/2003	Gilbert et al.			
		5,845,266	12/1/1998	Lupien et al.			
		6,278,982	8/21/2001	Korhammer et al.			
		5,924,082	7/13/1999	Silverman et al.			
		4,674,044	6/16/1987	Kalmus et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation
		EP 0 987 644	3/22/2000	EP			
		WO 00/21013	4/13/2000	PCT			
<b>NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		"Two-sided Market"- Investopedia.com (printed 11/14/2005).					
		"market maker" and "make a market"- www.morganstanleyindividual.com/customerservice/dictionary (printed 11/14/2005).					
		USPTO Office Action for Application No. 11/756,875, April 15, 2008 (15 pages).					
		USPTO Office Action for Application No. 11/756,964, March 4, 2008 (15 pages).					
		USPTO Office Action for Application No. 11/756,964, August 23, 2007 (12 pages).					
		USPTO Office Action for Application No. 09/995,698, January 9, 2007 (7 pages).					
<b>Examiner Signature</b>	/Muriel Tinkler/ (06/13/2011)				<b>Date Considered</b>		

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Docket Number</b> 99-1013		<b>Application Number</b> 09/745,651	
				<b>Applicants</b> Glenn D. KIRWIN, et al.			
				<b>Filing Date</b> December 22, 2000		<b>Group Art Unit</b> 3691	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		4,903,201	2/20/1990	Wagner			
		5,077,665	12/31/1991	Silverman et al.			
		5,136,501	8/4/1992	Silverman et al.			
		5,243,515	9/7/1993	Lee			
		5,715,402	2/3/1998	Popolo			
		5,727,165	3/10/1998	Ordish et al.			
		5,905,975	5/18/1999	Ausubel			
		5,924,083	7/13/1999	Silverman et al.			
		6,131,087	10/10/2000	Luke et al.			
		6,272,474	8/7/2001	Garcia			
		6,519,574	2/11/2003	Wilton et al.			
		7,155,410	12/26/2006	Woodmansey et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation
		WO 00/28450	5/18/2000	PCT			
		WO 00/58862	10/5/2000	PCT			
<b>NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		USPTO Office Action for Application No. 09/995,698, June 9, 2008 (8 pages).					
		USPTO Office Action for Application No. 09/982,709, May 21, 2007 (15 pages).					
		USPTO Office Action for Application No. 09/982,709, April 27, 2007 (3 pages).					
		USPTO Office Action for Application No. 09/982,709, February 23, 2007 (9 pages).					
		USPTO Office Action for Application No. 09/982,709, July 28, 2006 (9 pages).					
		USPTO Office Action for Application No. 09/982,709, November 30, 2005 (10 pages).					
Examiner Signature	/Muriel Tinkler/ (06/13/2011)				Date Considered		

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				<b>Docket Number</b> 99-1013		<b>Application Number</b> 09/745,651	
				<b>Applicants</b> Glenn D. KIRWIN, et al.			
				<b>Filing Date</b> December 22, 2000		<b>Group Art Unit</b> 3691	
<b>U.S. PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Document Number	Date	Name of Patentee	Class	Sub-Class	Filing Date
		6,112,189	8/29/2000	Rickard et al.			
		6,260,024	7/10/2001	Shkedy			
		6,236,972	5/22/2001	Shkedy			
		5,189,238	2/23/1993	Hayakawa			
		5,394,324	2/28/1995	Clearwater			
		5,640,569	6/17/1997	Miller et al.			
		5,724,357	3/3/1998	Derks			
		4,588,192	5/13/1986	Laborde			
		5,375,055	12/20/1994	Togher et al.			
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initials	Cite No.	Foreign Patent Document	Date	Country	Class	Sub-Class	Translation
<b>NON PATENT LITERATURE DOCUMENTS</b>							
Examiner Initials	Cite No.	Author, Title, date, pages, volume, publisher, city and/or country where published					
		USPTO Office Action for Application No. 09/982,709, April 1, 2005 (10 pages).					
		USPTO Office Action for Application No. 09/982,709, July 28, 2004 (9 pages).					
		USPTO Office Action for Application No. 09/982,709, January 20, 2004 (8 pages).					
Examiner Signature	/Muriel Tinkler/ (06/13/2011)				Date Considered		